



MAR 18 2002  
PATENT OFFICE  
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/005,168	12/04/2001	Thomas J. Brennan	R-10

CONFIRMATION NO. 6874

COPY OF PAPERS  
ORIGINALLY FILED

FORMALITIES LETTER



\*OC00000007403354\*

DELTAGEN, INC.  
740 Bay Road  
Redwood City, CA 94063

Date Mailed: 01/31/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$1100.
  - \$162 for 18 total claims over 20.
  - \$798 for 19 independent claims over 3.
  - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1535.

04/11/2002 HPRASASO 00000013 10005168

1 FC:203 162.00 OP

Dep'n. Ref: 04/11/2002 HPRASASO 0014164300

RD:501271 Name/Number:10005168

C: 704

\$9.00 *copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/20/2002 SDENB081 03000021 10005168  
01 FC:201 370.00 OP  
02 FC:202 798.00 OP  
03 FC:203 171.00 OP  
04 FC:205 65.00 OP  
05 FC:204 140.00 OP  
Adjustment date: 04/11/2002 HPRASASO  
01/20/2002 SDENB081 03000021 10005168  
03 FC:203 -171.00 OP

MAR 1 8 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

IN RE: PATENT APPLICATION of

Inventors: Thomas J. BRENNAN

Appln. No.: 10/005,168

Filed: December 4, 2001

Title: Transgenic Mice Containing Disruptions in Genes  
Encoding A2D2 Calcium Ion Channel Subunit Proteins

Docket/Order No.

R-10

Deposit Account No.

50-1271

Customer No.

26619

Date: 8 March 2002

COPY OF PAPER  
ORIGINALLY FILED

Asst. Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. ☒ Enclosed is a copy of the Notice to File Missing Parts.
2. ☒ Signed Declaration enclosed: ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
4. ☒ Applicants hereby claim "small entity" status pursuant to 37 CFR §1.27.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

5. Basic Filing Fee .....					\$370.	\$	370.00	201
6. Total Claims	39	minus 20 =	19	x	9.	+	171.00	203
7. Independent Claims	22	minus 3 =	19	x	42.	+	798.00	202
8. Multiple Dependent Claims					140.	+	140.00	204
9. Surcharge for filing Declaration/filing fee late					65.	+	65.00	205
10. FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input checked="" type="checkbox"/> ENCLOSED						= \$	1544.00	
11. Original due date: March 31, 2002								
12. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.					\$ 55. / 200.		.00	215/216 217/218
					460. / 720.			
13. If an Assignment is included, add recording fee.....					\$ 40.	+	40.00	581
TOTAL FEE (enclosed):					=	\$	1584.00	

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

DELTAGEN, INC.

740 Bay Road  
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Robert J. Driscoll Reg. No. 47,536

CERTIFICATE OF MAILING

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on 8 March 2002.

  
Deborah A. Mojarro